

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

Form 210A

United States Bankruptcy Court

SOUTHERN DISTRICT OF NEW YORK

In re LEHMAN BROTHERS HOLDINGS INC., et. al., DEBTORS

Case No. 08-13555 (JMP)  
JOINTLY ADMINISTERED

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**Illiquidx Limited**

Name of Transferee

**Credit Suisse (Schweiz) AG**

Name of Transferor

Name and Address where notices  
to transferee should be sent:

**Illiquidx Limited**  
80 Fleet Street  
London EC4Y 1EL  
UNITED KINGDOM  
Attn.: Ms Galina Alabatchka  
Email: alabatchka@illiquidx.com  
Phone: +44 207 832 0181  
Last Four Digits of Acct #: N/A

Court Claim # (if known): 59233

Date Claim Filed: 11/02/2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see

Schedule 1): see Evidence of Partial Transfer of Claim

Phone: - \_\_\_\_\_

Last Four Digits of Acct #: - \_\_\_\_\_

**\*\*PLEASE SEE ATTACHED EXHIBITS\*\***

Name and Address where transferee payments  
should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: 9 August 2018

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §1152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM  
TRANSFER AGREEMENT**

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, Credit Suisse (Schweiz) AG ("Transferor") unconditionally and irrevocably transferred to IlliquidX Limited, 80 Fleet Street, London ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim No 559233.04** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON August 9, 2018.

**Credit Suisse (Schweiz) AG**

By:   
Name: Sandro Hutziker  
Title: Assistant Vice President

By:   
Name: Adrian Graf  
Title: Assistant Vice President

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0324890440	559233.04	October 30, 2009	Lehman Brothers Securities NV	CHF 90,000